

08/25/03

1635

AMENDMENT TRANSMITTAL LETTER (Small Entity)			Docket No. 51271/33 US
Applicant(s): <u>Allen</u>			
Serial No. 10/056,788	Filing Date January 23, 2002	Examiner Brian A. Whiteman	Group Art Unit 1635

Invention: **MUSCLE DIRECTED GENE TRANSFER BY USE OF RECOMBINANT AAV-1 AND AAV-6 VIRIONS**

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TO THE COMMISSIONER FOR PATENTS:

AUG 27 2003

Transmitted herewith is an amendment in the above-identified application.

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- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	13 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	2 -	3 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-2375
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.


Signature

Dated: August 22, 2003

Mara K. Featherstone
Registration No. 50-390
STOEL RIVES LLP
One Utah Center
201 South Main Street, Suite 1100
Salt Lake City, UT 84111
Telephone: (801) 578-6992

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CUSTOMER NO. 35735

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
51271/33 US

In Re Application Of: Allen

Serial No.
10/056,788

Filing Date
January 23, 2002

Examiner
Brian A. Whiteman

Group Art Unit
1635

Title: **MUSCLE DIRECTED GENE TRANSFER BY USE OF RECOMBINANT AAV-1 AND AAV-6 VIRIONS**

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Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
51271/33 US

In Re Application: Allen

Serial No.

10/056,788

Filing Date

January 23, 2002

Examiner

Brian A. Whiteman

Group Art Unit

1635

MUSCLE DIRECTED GENE TRANSFER BY USE OF RECOMBINANT AAV-1 AND AAV-6

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.170)

AUG 27 2003

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- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-2375 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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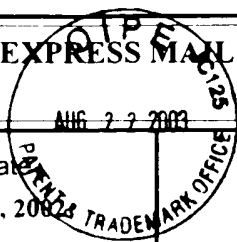
*This certificate may only be used if paying by deposit account.

Dated: August 22, 2003

Mara Featherstone
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Mara K. Featherstone
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CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Allen			Docket No. 51271/33 US
Serial No. 10/056,788	Filing Date January 23, 2002	Examiner Brian A. Whiteman	Group Art Unit 1635



Invention: **MUSCLE DIRECTED GENE TRANSFER BY USE OF RECOMBINANT AAV-1 AND AAV-6 VIRIONS**

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I hereby certify that the following correspondence:

**Amendment and Response
Supplemental Information Disclosure Statement**

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(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

August 22, 2003
(Date)

Mara K. Featherstone

(Typed or Printed Name of Person Mailing Correspondence)

Mara Featherstone

(Signature of Person Mailing Correspondence)

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Transmitted: Amendment and Response (6 pgs.)
 Amendment Transmittal Letter (1 pg.)
 Supplemental Information Disclosure Statement (2 pgs.)
 PTO-Form 1449 listing three (3) references (1 pgs.)
 Copies of three (3) references
 Transmittal for Information Disclosure Statement (2 pgs.)
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